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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

GEORGE D. BOLTON ET AL.

Serial No. **10/720,797**

Filing Date: **November 24, 2003**

For: **SYSTEM AND METHOD FOR
CREATING, AGGREGATING, AND
TRANSFERRING ENVIRONMENTAL
EMISSION REDUCTIONS**

Art Unit: **2632**

Attorney Docket No.
31234

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §1.97(b), it is respectfully requested that the Information Disclosure Statement (Form PTO/SB/08A) attached hereto be entered and the references listed thereon be considered by the Examiner and made of record. Copies of the listed references are enclosed.

Respectfully submitted,

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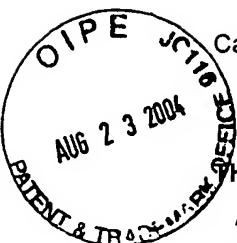
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Agent for Applicant

CERTIFICATE OF MAILING

I hereby certify that the foregoing is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 20th day of August, 2004.

Edward Bradley



Case No. 31234

**THE COMMISSIONER OF PATENTS
AND TRADEMARKS**
Alexandria, VA 22313-1450

Transmitted herewith for filing in the patent application of:

Inventors: George D. Bolton et al.
For: System and Method for Creating, Aggregating, and Transferring
Environmental Emission Reductions
Serial No.: 10/720,797
Filed: November 24, 2003

Enclosed are:

- ☒ Citation Under 37 CFR § 1.97 (IDS)
- ☒ Form PTO – 1449 + copies of cited references

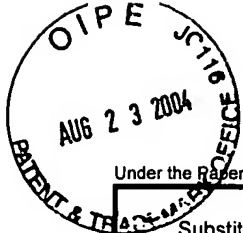
- ☒ The Commissioner is authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.

August 19, 2004

Date

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete If Known	
				Application Number	10/720,797
				Filing Date	11/24/2003
				First Named Inventor	George D. Bolton
				Group Art Unit	2632
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	31234

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	2004/0093264		Shimizu	05/13/2004	
	AB	2004/0049424		Murray et al.	03/11/2004	
	AC	2004/0039684		Sandor	02/26/2004	
	AD	2004/0015454		Raines et al.	01/22/2004	
	AE	2003/0229572		Raines et al.	12/11/2003	
	AF	2003/0172697		Sower	09/18/2003	
	AG	6,601,033		Sowinski	07/29/2003	
	AH	2003/0101070		Mahosky et al.	05/29/2003	
	AI	6,569,092		Guichon et al.	05/27/2003	
	AJ	2002/0188459		Erickson	12/12/2002	
	AK	2002/0173980		Daggett et al.	11/21/2002	
	AL	2002/0173979		Daggett et al.	11/21/2002	
	AM	2002/0143693		Soestbergen et al.	10/03/2002	
	AN	2002/0084227		Sower	07/04/2002	
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	AQ	2001/0032168		Adamson et al.	10/18/2001	
	AR	6,112,118		Hartnett	08/29/2000	
	AS	6,056,919		Markels, Jr.	05/02/2000	
	AT	6,005,939		Fortenberry et al.	12/21/1999	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code ² (if known)			
	AU	5,995,947		Fraser et al.	11/30/1999	
	AV	5,975,020		Caveny et al.	11/02/1999	
	AW	5,963,923		Garber	10/05/1999	
	AX	5,924,083		Silverman et al.	07/13/1999	
	AY	5,915,209		Lawrence	06/22/1999	
	AZ	5,887,547		Caveny et al.	03/30/1999	
	BA	5,787,402		Potter et al.	07/28/1998	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		Office	Number ¹	Kind Code ² (If known)				
	BD	WO	2004/037747		Day et al.	05/06/2004		
	BE	WO	2004/010366		Chicago Climate Exchange, Inc.	01/29/2004		
	BF	WO	2004/001541		Nuride, Inc.	12/31/2003		
	BG	WO	03/103864		Malkamaki	12/18/2003		
	BH	JP	2003331088		Tokyo Gas Co.Ltd.	11/21/2003		
	BI	WO	03/058386		Fannie Mae	07/17/2003		
	BJ	WO	03/058385		Fannie Mae	07/17/2003		
	BK	WO	02/071832		Air Logistics Limited	09/19/2002		
	BL	WO	02/045318		The Agricultural Gas Company	06/06/2002		
	BM	WO	02/37433		International Carbon Bank and Exchange	05/10/2002		
	BN	WO	00/65902		Markels	11/09/2000		
	BO	WO	99/42814		Marino et al.	08/26/1999		
	BP	WO	99/01027		Environmentally Correct Concepts, Inc.	01/14/1999		

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